



PATENT  
Customer No. 22,852  
Attorney Docket No. 08350.3304-05  
Client Ref. No. 03-304.5

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Thomas J. KELLY et al. ) Group Art Unit: Unassigned  
Application No.: 10/646,714 ) Examiner: Unassigned  
Filed: August 25, 2003 )  
For: SYSTEMS AND METHODS FOR )  
PROVIDING SECURITY )  
OPERATIONS IN A WORK )  
MACHINE )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

In light of the waiver of 37 C.F.R. § 1.98(a)(2)(i) provided in 1273 OG 55 for patent applications filed after June 30, 2003, copies of the U.S. patent documents listed on the 1449 form are not required and therefore are not enclosed.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form and returning it to Applicants' undersigned representative.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

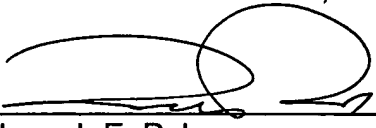
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: April 2, 2004

By:   
Joseph E. Palys  
Reg. No. 46,508

## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	08350.3304-05000	Appln. No.	10/646,714
Applicant	Kelley et al.		
Filing Date	August 25, 2003	Group:	Unassigned

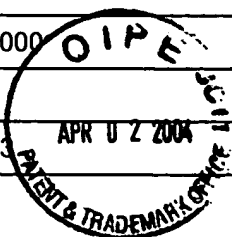
## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date
	6,580,983	Jun. 17, 2003	Laguer-Diaz, Juan et al.			
	6,574,734	Jun. 3, 2003	Colson, James Campbell et al.			
	6,571,136	May 27, 2003	Staiger, Dieter E.			
	6,564,127	May 13, 2003	Bauerle, Paul Alan et al.			
	6,560,516	May 6, 2003	Baird, Michael L. et al.			
	6,553,292	Apr. 22, 2003	Kokes, Michael et al.			
	6,553,039	Apr. 22, 2003	Huber, Martin et al.			
	6,539,296	Mar. 25, 2003	Diaz, R. Gary et al.			
	6,535,803	Mar. 18, 2003	Fennel, Helmut			
	6,526,340	Feb. 25, 2003	Reul, David A. et al.			
	6,512,970	Jan. 28, 2003	Albert, Christian			
	6,470,260	Oct. 22, 2002	Martens, Daniel et al.			
	6,466,861	Oct. 15, 2002	Little, Lincoln M.			
	6,460,096	Oct. 1, 2002	Hesse, Michael D. et al.			
	6,438,468	Aug. 20, 2002	Muxlow, Dan et al.			
	6,430,485	Aug. 6, 2002	Hullinger, Daniel W.			
	6,370,456	Apr. 9, 2002	Eiting, Thomas et al.			
	6,343,249	Jan. 29, 2002	Sakai, Hirotaka et al.			

Examiner	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	
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U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date
		6,327,263	Dec. 4, 2001	Nakatsuji, Naohiro			
		6,314,351	Nov. 6, 2001	Chutorash, Richard J.			
		6,278,921	Aug. 21, 2001	Harrison, Christopher G. et al.			
		6,236,909	May 22, 2001	Colson, James Campbell et al.			
		6,202,008	Mar. 13, 2001	Beckert, Richard D. et al.			
		6,195,602	Feb. 27, 2001	Hazama, Koji et al.			
		6,185,491	Feb. 6, 2001	Gray, Jamison et al.			
		6,169,943	Jan. 2, 2001	Simon, Marc R. et al.			
		6,144,905	Nov. 7, 2000	Gannon, Mark A.			
		6,125,309	Sep. 26, 2000	Fujimoto, Takanori			
		6,112,139	Aug. 29, 2000	Schubert, William L. et al.			
		6,084,870	Jul 4, 2000	Wooten, Kathleen R. et al.			
		6,067,302	May 23, 2000	Tozuka, Akira			
		6,061,614	May 9, 2000	Carrender, Curtis L. et al.			

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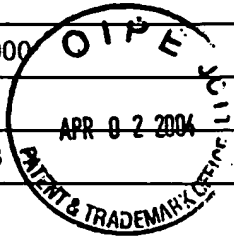


U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date
	6,052,632	Apr. 18, 2000	Iihoshi, Akira et al.			
	5,949,776	Sep. 7, 1999	Mahany, Ronald L. et al.			
	5,856,976	Jan. 5, 1999	Hirano, Seiji			
	5,790,536	Aug. 4, 1998	Mahany, Ronald L. et al.			
	5,781,125	Jul 14, 1998	Godau, Ralf et al.			
	5,737,711	Apr. 7, 1998	Abe, Kunihiro			
	5,712,782	Jan. 27, 1998	Weigelt, Horst et al.			
	5,619,412	Apr. 8, 1997	Hapka, Roger J.			
	5,526,357	Jun 11, 1996	Jandrell, Louis H. M.			
	5,523,948	Jun. 14, 1996	Adrain, John B.			
	5,459,660	Oct. 17, 1995	Berra, Charles J.			
	5,365,436	Nov. 15, 1994	Schaller, David A. et al.			
	5,309,436	May 3, 1994	Hirano, Seiyo et al.			
	5,168,272	Dec. 1, 1992	Akashi, Kazuya et al.			
	5,157,610	Oct. 20, 1992	Asano, Seiji et al.			
	5,090,012	Feb. 18, 1992	Kajiyama, Hiroshi et al.			
	4,929,941	May 29, 1990	Lecocq, Jean-Luc			

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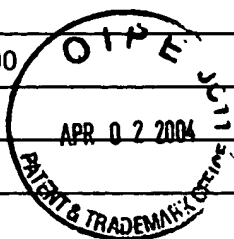


U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date
	4,926,331	May 15, 1990	Windle, William E. et al.			
	4,694,408	Sep. 15, 1987	Zaleski, James V.			
	6,580,953	Jun 17, 2003	Wiebe, Cory James et al.			
	6,567,730	May 20, 2003	Tanaka, Ryo			
	6,560,517	May 6, 2003	Matsui, Fujio			
	6,556,899	Apr. 29, 2003	Harvey, Lee et al.			
	6,553,291	Apr. 22, 2003	Matsui, Fujio			
	6,549,833	Apr. 15, 2003	Katagishi, Makoto et al.			
	6,542,799	Apr. 1, 2003	Mizutani, Yuji et al.			
	6,529,812	Mar. 4, 2003	Koehrsen, Craig Lawrence et al.			
	6,529,808	Mar. 4, 2003	Diem, Earl D.			
	6,516,192	Feb. 4, 2003	Spaur, Charles W. et al.			
	6,505,105	Jan. 7, 2003	Allen, William James et al.			
	6,501,368	Dec. 31, 2002	Wiebe, Cory James et al.			
	6,487,717	Nov. 26, 2002	Brunemann, George et al.			
	6,484,082	Nov. 19, 2002	Millsap, Arnold W. et al.			
	6,480,928	Nov. 12, 2002	Yashiki, Tetsuya et al.			

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U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date
		6,473,839	Oct. 29, 2002	Kremser, Rolf et al.			
		6,459,969	Oct. 1, 2002	Bates, Cary Lee et al.			
		6,449,884	Sep. 17, 2002	Watanabe, Hiroshi et al.			
		6,438,471	Aug. 20, 2002	Katagishi, Makoto et al.			
		6,434,458	Aug. 13, 2002	Laguer-Diaz, Juan et al.			
		6,434,455	Aug. 13, 2002	Snow, Kevin D. et al.			
		6,430,164	Aug. 6, 2002	Jones, Marc T. et al.			
		6,427,101	Jul. 30, 2002	Diaz, R. Gary et al.			
		6,415,210	Jul. 2, 2002	Hozuka, Minoru et al.			
		6,408,232	Jun 18, 2002	Cannon, Joseph M. et al.			
		6,407,554	Jun 18, 2002	Godau, Ralf et al.			
		6,405,111	Jun 11, 2002	Rogers, Steven W et al.			
		6,381,523	Apr. 30, 2002	Sone, Hiroki			
		6,370,449	Apr. 9, 2002	Razavi, Behfar et al.			
		6,356,822	Mar. 12, 2002	Diaz, R. Gary et al.			
		6,356,813	Mar. 12, 2002	Sommer, Rainer et al.			
		6,353,776	Mar. 5, 2002	Rohrl, Thomas et al.			

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Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date
		6,330,499	Dec. 11, 2001	Chou, Paul Bao-Luo et al			
		6,321,148	Nov. 20, 2001	Leung, Sherman			
		6,321,142	Nov. 20, 2001	Shutty, John V.			
		6,314,422	Nov. 6, 2001	Barker, Robert L. et al.			
		6,295,492	Sep. 25, 2001	Lang, Brook W. et al.			
		6,292,862	Sep. 18, 2001	Barrenscheen, Jens et al.			
		6,285,925	Sep. 4, 2001	Steffen, Michael			
		6,275,167	Aug. 14, 2001	Dombrowski, John Edward et al.			
		6,272,120	Aug. 7, 2001	Alexander, Bruce E.			
		6,263,268	Jul 17, 2001	Nathanson, Martin Daniel			
		6,225,898	May 1, 2001	Kamiya, Kenji et al.			
		6,202,012	Mar. 13, 2001	Gile, David V. et al.			
		6,201,316	Mar. 13, 2001	Knecht, Gerhard			
		6,198,989	Mar. 6, 2001	Tankhilevich, Boris G. et al.			
		6,189,057	Feb. 13, 2001	Schwanz, Kevin A. et al.			
		6,181,994	Jan. 20, 2001	Colson, James Campbell et al.			
		6,177,867	Jan. 23, 2001	Simon, Marc R. et al.			

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Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date
		6,167,337	Dec. 26, 2000	Haack, Paul W. et al.			
		6,151,306	Nov. 21, 2000	Ogasawara, Kazuyoshi et al.			
		6,151,298	Nov. 21, 2000	Bernhardsson, Sture et al.			
		6,134,488	Oct. 17, 2000	Sasaki, Kazumune et al.			
		6,131,019	Oct. 10, 2000	King, Joseph D.			
		6,122,514	Sep. 19, 2000	Spaur, Charles W. et al.			
		6,114,970	Sep. 5, 2000	Kirson, Allan M. et al.			
		6,111,893	Aug. 29, 2000	Volftsun, Lev et al.			
		6,104,971	Aug. 15, 2000	Fackler, Rupert			
		6,073,063	Jun 6, 2000	Leong Ong, Kay (Dexter) et al.			
		6,025,776	Feb. 15, 2000	Matsuura, Yuuichirou			
		6,009,370	Dec. 28, 1999	Minowa, Toshimichi et al.			
		5,999,876	Dec. 7, 1999	Irons, John et al.			
		5,982,781	Nov. 9, 1999	Przybyla, Bernd et al.			
		5,938,716	Aug. 17, 1999	Shutty, John V. et al.			
		5,916,287	Jun. 29, 1999	Arjomand, Hossein et al.			

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U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date
		5,896,418	Apr. 20, 1999	Hamano, Fumio et al.			
		5,884,206	Mar. 16, 1999	Kim, Tae Yeon			
		5,884,202	Mar. 16, 1999	Arjomand, Hossein			
		5,848,368	Dec. 8, 1998	Allen, William E. et al.			
		5,844,953	Dec. 1, 1998	Heck, Patrick et al.			
		5,790,965	Aug. 4, 1998	Abe, Kunihiro			
		5,764,919	Jun. 9, 1998	Hashimoto, Hiroshi			
		5,737,335	Apr. 7, 1999	Mizuta, Ken et al.			
		5,732,074	Mar. 24, 1998	Spaur, Charles W. et al.			
		5,659,702	Aug. 19, 1997	Hashimoto, Hiroshi et al.			
		5,657,317	Aug. 12, 1997	Mahany, Ronald L. et al.			
		5,629,941	May 13, 1997	Kawanishi, Toshiaki et al.			
		5,606,556	Feb. 25, 1997	Kawanishi, Toshiaki et al.			
		5,588,002	Dec. 24, 1996	Kawanishi, Toshiaki et al.			
		5,555,498	Sep. 10, 1996	Berra, Charles J. et al.			
		5,541,840	Jul. 30, 1996	Gurne, Krzysztof et al.			
		5,495,469	Feb. 27, 1996	Halter, Richard A. et al.			

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U.S. PATENT DOCUMENTS							
Examiner Initial*		Document Number	Issue Date	Name	Class	Sub Class	Filing Date
		5,463,567	Oct. 31, 1995	Boen, Charles G. et al.			
		5,448,479	Sep. 5, 1995	Kemner, Carl A. et al.			
		5,400,018	Mar. 21, 1995	Scholl, Rolland D. et al.			
		5,343,472	Aug. 30, 1994	Michihira, Osamu et al.			
		5,343,470	Aug. 30, 1994	Hideshima, Masao et al.			
		5,307,509	Apr. 26, 1994	Michalon, Gilles et al.			
		5,274,638	Dec. 28, 1993	Michihira, Osamu et al.			
		5,132,905	Jul. 21, 1992	Takai, Hideo et al.			
		5,077,670	Dec. 31, 1991	Takai, Hideo et al.			
		5,003,479	Mar. 26, 1991	Kobayashi, Tomoya et al.			
		4,975,846	Dec. 4, 1990	Abe, Kunihiro et al.			
		4,924,391	May 8, 1990	Hirano, Shigeaki et al.			
		4,414,661	Nov. 8, 1983	Karlstrom			

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Examiner Initial*		Document Number	Publication Date	Name	Class	Sub Class	Filing Date
		2003/0114966	Jun 19, 2003	Ferguson, Alan L. et al.			
		2003/0105565	Jun. 5, 2003	Loda, David C. et al.			
		2003/0097477	May 22, 2003	Vossler, Stephen P.			
		2003/0093204	May 15, 2003	Adachi, Hiroyuki et al.			
		2003/0093203	May 15, 2003	Adachi, Hiroyuki et al.			
		2003/0093199	May 15, 2003	Mavreas, Michael			
		2003/0088347	May 8, 2003	Ames, Michael B.			
		2003/0069680	Apr. 10, 2003	Cohen, Paul Joseph et al.			
		2003/0065771	Apr. 3, 2003	Cramer, Regine et al.			
		2003/0060953	Mar. 27, 2003	Chen, Ieon C.			
		2003/0053433	Mar. 20, 2003	Chun, Anthony L.			
		2003/0050747	Mar. 13, 2003	Kamiya, Kenji			
		2003/0046435	Mar. 6, 2003	Lind, Hans et al.			
		2003/0046327	Mar. 6, 2003	Reinold, Juergen et al.			
		2003/0043824	Mar. 6, 2003	Remboski, Donald J. et al.			
		2003/0043799	Mar. 6, 2003	Reinold, Juergen et al.			
		2003/0043793	Mar. 6, 2003	Reinold, Juergen et al.			

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		2003/0043779	Mar. 6, 2003	Remboski, Donald J. et al.			
		2003/0043750	Mar. 6, 2003	Remboski, Donald J. et al.			
		2003/0043739	Mar. 6, 2003	Reinold, Juergen et al.			
		2003/0037466	Feb. 27, 2003	Komatsu, Hideki et al.			
		2003/0035437	Feb. 20, 2003	Garahi, Masood et al.			
		2003/0033061	Feb. 13, 2003	Chen, George et al.			
		2003/0028297	Feb. 6, 2003	Iihoshi, Akira et al.			
		2003/0021241	Jan. 30, 2003	Dame, Stephen G. et al.			
		2003/0016636	Jan. 30, 2003	Tari, Kazuyoshi et al.			
		2003/0014179	Jan. 16, 2003	Szukala, Les et al.			
		2003/0009271	Jan. 9, 2003	Akiyama, Susumu			
		2003/0004624	Jan. 2, 2003	Wilson, Bary W. et al.			
		2002/0198639	Dec. 26, 2002	Ellis, Gordon F. et al.			
		2002/0196771	Dec. 26, 2002	Vij, Vikram et al.			
		2002/0193925	Dec. 19, 2002	Funkhouser, Travis et al.			
		2002/0183904	Dec. 5, 2002	Sakurai, Kohei et al.			
		2002/0169529	Nov. 14, 2002	Kim, Kwang-Duck			

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Examiner Initial*		Document Number	Publication Date	Name	Class	Sub Class	Filing Date
		2002/0167965	Nov. 14, 2002	Beasley, James et al.			
		2002/0161820	Oct. 31, 2002	Pellegrino, Michael J. et al.			
		2002/0161496	Oct. 31, 2002	Yamaki, Masahito			
		2002/0161495	Oct. 31, 2002	Yamaki, Masahito			
		2002/0156558	Oct. 24, 2002	Hanson, Richard E. et al.			
		2002/0154605	Oct. 24, 2002	Preston, Dan Alan et al.			
		2002/0150050	Oct. 17, 2002	Nathanson, Martin D.			
		2002/0138188	Sep. 26, 2002	Watanabe, Hiroshi et al.			
		2002/0138178	Sep. 26, 2002	Bergmann, Carsten et al.			
		2002/0126632	Sep. 12, 2002	Terranova, Steven N.			
		2002/0123833	Sep. 5, 2002	Sakurai, Kohei et al.			
		2002/0123832	Sep. 5, 2002	Gotvall, Per-Lage et al.			
		2002/0123828	Sep. 5, 2002	Bellmann, Holger et al.			
		2002/0116116	Aug. 22, 2002	Mathew, Joseph Cheri et al.			
		2002/0110146	Aug. 15, 2002	Thayer, Peter A. et al.			
		2002/0107624	Aug. 8, 2002	Rutz, Arnold			
		2002/0105968	Aug. 8, 2002	Pruzan, Brian M. et al.			

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